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DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled FIBER OPTIC LASER TRANSMITTER WITH REDUCED NEAR END REFLECTIONS, the specification of which

(check one) XX is attached hereto.

_____ was filed on _____ as
Application Serial No. _____
and was amended on _____
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Claimed

(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulation, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	(Status)
(Application Serial No.)	(Filing Date)	(Status)
(Application Serial No.)	(Filing Date)	(Status)

Direct all telephone calls to Gary S. Williams at (650) 493-4935.

Address all correspondence to:

PENNIE & EDMONDS LLP
3300 Hillview Avenue
Palo Alto, California 94304

File No. 9775-0031-999

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States Code, §1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or
first inventor:

LEWIS B. ARONSON

Inventor's signature:

Lew B Aronson

Date:

3/7/00

Residence:

LOS ALTOS, CALIFORNIA

Citizenship:

U.S.A.

Post Office Address:

794 MANOR WAY

LOS ALTOS, CALIFORNIA 94024

Full name of
second inventor:

DANIEL S. KAME

Inventor's signature:

Daniel S. Kame

Date:

3/7/00

Residence:

SAN FRANCISCO, CALIFORNIA

Citizenship:

U.S.A.

Post Office Address:

625 GREENWICH STREET #1

SAN FRANCISCO, CALIFORNIA 94133

Applicant or Patentee: Lewi Aronson Attorney: Docket No.: 9775-00
 Serial or Patent No.: _____
 Filed or Issued: _____
 For: FIBER OPTIC LASER TRANSMITTER WITH REDUCED NEAR END REFLECTIONS

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
 STATUS (37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN**

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: FINISAR CORPORATION
 ADDRESS OF CONCERN: 1308 MOFFETT PARK DRIVE, SUNNYVALE, CA 94089-1131

I hereby declare that the above identified small business concern qualifies as a small business concern under 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office under Section 41(a) and (b) of Title 35, United States Code, in that the number of persons employed by the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, the number of employees of the business concern is the average over the previous fiscal year of the concern, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls, has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled FIBER OPTIC LASER TRANSMITTER WITH REDUCED NEAR END REFLECTIONS by inventor(s) Lewis B. Aronson and Daniel S. K...

- ☒ the specification filed herewith
☐ application serial no. _____, filed _____
☐ patent no. _____, issued _____

If the rights held by the above identified small business concern are not exclusive, each individual, organization having rights to the invention is listed below* and no rights to the invention are held by any other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). *NOTE: Separate verified statements are required from each named person, organization, or nonprofit organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME: _____

ADDRESS: _____

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status and entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee, the maintenance fee due after the date on which status as a small entity is no longer appropriate.

I hereby declare that all statements made herein of my own knowledge are true and that all statements of information and belief are believed to be true; and further that these statements were not made with any willful false statements and the like so made are punishable by fine or imprisonment, or both, under the provisions of Title 18 of the United States Code, and that such willful false statements may jeopardize the granting of this application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Jerry K...
 TITLE IN ORGANIZATION Chief Executive Officer
 ADDRESS OF PERSON SIGNING 1308 Moffett Park Drive, Sunnyvale, CA 94089-1131

SIGNATURE Jerry K... DATE March 7, 2000


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ACTY#	MODE		CONNECTION TEL	CONNECTION ID	START
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5091	AUTO RX	G3	703 685 0573		09/11 11:11
5092	AUTO RX	ECM			09/13 12:11
5093	MEMORY RX	ECM	6123393061	SCHWEGMAN, LUNDBE	09/14 18:11
5094	AUTO RX	ECM	12486475210		09/19 10:11
5097	AUTO RX	ECM	16106609092		09/19 11:11
5098	AUTO RX	ECM	1 780 459 7837		09/19 11:11
5099	AUTO RX	ECM	304 252 6132		09/20 11:11
5100	MEMORY RX	ECM			09/20 11:11
5101	AUTO RX	G3			09/24 11:11
5102	AUTO RX	ECM	6503262422		09/24 11:11
5103	AUTO RX	ECM	2152244160		09/27 11:11
5104	AUTO RX	ECM	760 321 5368		09/27 11:11
5105	AUTO RX	ECM	760 321 5368		09/27 11:11
5107	AUTO RX	ECM		ANTONELLI, TERRY,	09/27 11:11
5108	AUTO RX	ECM		ANTONELLI, TERRY,	09/27 11:11
5109	AUTO RX	G3			09/27 11:11
5110	AUTO RX	ECM	914 332 0615	PHILIPS ELECTRON	10/02 11:11
5111	AUTO RX	ECM	914 332 0615	PHILIPS ELECTRON	10/02 11:11
5112	AUTO RX	ECM			10/02 11:11
5113	MEMORY RX	ECM	6104070701		10/02 11:11
5114	AUTO RX	ECM			10/02 11:11
5115	AUTO RX	ECM	847 382 6895	VIGIL & ASSC	10/02 11:11
5116	AUTO RX	ECM	+6509529882		10/02 11:11
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5118	MEMORY RX	ECM	972 477 9328		10/02 11:11
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5120	TX	ECM	914163611398		10/02 11:11
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5123	AUTO RX	ECM			10/02 11:11
5125	TX	ECM	97039052500		10/02 11:11
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5129	TX	ECM	912127536237	DARBY & DARBY	10/02 11:11
5130	POLLING RX	G3	92024084400		10/02 11:11
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5145	TX	ECM	93018999430		10/02 11:11